

FORM PTO-1390 (Modified)-  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

## TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

112 178

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/786062

INTERNATIONAL APPLICATION NO.

PCT/DE99/02442

INTERNATIONAL FILING DATE

4 August 1999

PRIORITY DATE CLAIMED

31 August 1998

TITLE OF INVENTION

WDM RING NETWORK AND METHOD

APPLICANT(S) FOR DO/EO/US

Horst Mueller

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

Submission of Drawings - Figures 1-6 on five sheets

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Page 2 of 2

## CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Horst Mueller

Docket No.  
09/786062  
112740-178

Serial No.

Filing Date

Examiner

Group Art Unit

Invention: WDM RING NETWORK AND METHOD

I hereby certify that the following correspondence:

Transmittal Letter to the United States Designed/Elected Office in duplicate, International application as filed, English translation, executed declaration, Preliminary Amendment, Submission of drawings Figures 1-6 on five sheets, filing fee \$860.00 (see attached envelope for executed assignment and fee), postcard

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

February 28, 2001

(Date)

Julie Alonzo

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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BOX PCT

IN THE UNITED STATES ELECTED/DESIGNATED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

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APPLICANT: Horst Mueller DOCKET NO: 112740-178  
SERIAL NO: GROUP ART UNIT:  
EXAMINER:

10 INTERNATIONAL APPLICATION NO: PCT/DE99/02442

INTERNATIONAL FILING DATE: 04 August 1999

INVENTION: WDM RING NETWORK AND METHOD

15 Assistant Commissioner for Patents,  
Washington, D.C. 20231

**SUBMISSION OF DRAWINGS**

Applicant herewith submits five sheets (Figs. 1-6) of drawings for the  
20 above-referenced PCT application.

Respectfully submitted,



(Reg. No. 39,056)

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